

FORM PTO-1390  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371**

MERCK 2334

U.S. APPLICATION NO. (If known, see 37 CFR §1.5)

**10/009486**

INTERNATIONAL APPLICATION NO.

PCT/EP00/05206

INTERNATIONAL FILING DATE

6 JUNE 2000

PRIORITY DATE CLAIMED

16 JUNE 1999

TITLE OF INVENTION

MINATURIZED ANNLYTICAL SYSTEM

APPLICANT(S) FOR DO/EO/US


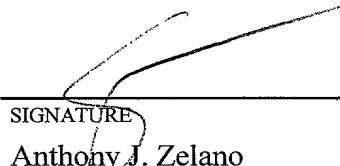
EISENBEISS, Friehelm, et al.

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. §§1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR §1.5)		INTERNATIONAL APPLICATION NO		ATTORNEY'S DOCKET NUMBER	
10/009486		PCT/EP00/05206		MERCK 2334	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE ( 37 CFR §1.492 (a) (1) - (5)):					
Search Report has been prepared by the EPO or JPO..... \$890.00					
International preliminary examination fee paid to USPTO (37 CFR §1.482)..... \$710.00					
No international preliminary examination fee paid to USPTO (37 CFR §1.482) but international search fee paid to USPTO (37 CFR §1.445(a)(2))..... \$740.00					
Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO..... \$1040.00					
International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. §1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	6 - 20 =	0	x \$ 18.00	\$0.00	
Independent claims	1 - 3 =	0	x \$ 84.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 280.00		
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
Reduction of 1/2 for filing by small entity, if applicable. A Verified Small Entity Statement must also be					
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. §1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30					
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 C.F.R. §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§3.28, 3.31). \$40.00 per property.					
TOTAL FEES ENCLOSED =				\$890.00	
				Amount to be refunded:	
				charged:	
a. <input checked="" type="checkbox"/>	A check in the amount of \$890.00 to cover the above fees is enclosed.				
b. <input type="checkbox"/>	Please charge my Deposit Account No. 13-3402 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3402. A duplicate copy of this sheet is enclosed.				
NOTE: Where an appropriate time limit under 37 C.F.R. §§1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. §1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Customer Number 23,599					
 23599 PATENT TRADEMARK OFFICE					
Filed: 13 DECEMBER 2001 AJZ:kmo					
SIGNATURE  Anthony J. Zelano NAME 27,969 REGISTRATION NUMBER					

**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

International Application No. : PCT/EP00/05206  
International Filing Date : 6 JUNE 2000  
Priority Date(s) Claimed : 16 JUNE 1999  
Applicant(s) (DO/EO/US) : EISENBEISS, Friedhelm, et al

Title: MINIATURIZED ANALYTICAL SYSTEM

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

SIR:

Prior to calculating the national fee, and prior to examination in the National Phase of the above-identified International application, please amend as follows:

**IN THE CLAIMS:**

3. (Amended) Process for producing continuous-flow units for microstructured analytical systems according to Claim 1, characterized in that the alignment in step c) is performed using sputtered optical registration markers.
4. (Amended) Continuous-flow unit for a microstructured analytical system produced by a process corresponding to Claim 1.
6. (Amended) Continuous-flow unit for a microstructured analytical system corresponding to Claim 4, characterized in that the electrodes have an adhesive coating of chromium oxide and a coating of noble metal.

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REMARKS

The purpose of this Preliminary Amendment is to eliminate multiple dependent claims in order to avoid the additional fee. Applicants reserve the right to reintroduce claims to canceled combined subject matter.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached pages are captioned "**Version With Markings to Show Changes Made**".

Respectfully submitted,



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AJZ:jmm

Filed: 13 DECEMBER 2001

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

Claims 3,4 and 6 have been amended as follows:

3. (Amended) Process for producing continuous-flow units for microstructured analytical systems \_\_\_\_\_ according to Claim 1 ~~or 2~~, characterized in that the alignment in step c) is performed using sputtered \_\_\_\_\_ optical registration markers.

4. (Amended) Continuous-flow unit for a microstructured analytical system produced by a process \_\_\_\_\_ corresponding to ~~one of~~ Claims 1 ~~to 3~~.

6. (Amended) Continuous-flow unit for a microstructured analytical system corresponding to ~~one of~~ Claims 4 ~~or Claim~~ 5, \_\_\_\_\_ characterized in that the electrodes have an adhesive coating of chromium oxide and a coating of \_\_\_\_\_ noble metal.

il/pvt

## Miniaturized analytical system

The invention relates to the production and structure of miniaturized analytical systems, in particular those  
5 having apparatuses for control and measurement of electrical conductivity.

Miniaturized analytical systems, in particular those having a microfluidic channel structure are  
10 increasingly becoming of importance. There is particular interest in miniaturized analytical systems, which offer opportunities for electrophoretic separation and analysis of samples.

15 Analytical units which can be used for such applications generally consist of a baseplate (substrate) and a cover, between which are situated microchannel structures, electrodes and other required functionalities, such as detectors, reactors, valves  
20 etc.

The demands which must be made of a microfluidic analytical system include sufficient stability with respect to mechanical, chemical, electrical and thermal  
25 effects. For the channel structures, mechanical stability means, in particular, dimensional and volumetric stability, which is an important prerequisite for, for example, quantitatively reproducible sample delivery. Internal pressure  
30 stability of the microchannels is also required with respect to the use of pumps, for example, for charging the microchannels. The materials used must obviously be chemically inert to the medium transported in the channels. If electrodes are introduced into the  
35 channel, these must be able to be positioned with high accuracy (a few  $\mu\text{m}$ ) in the channel, in order to be able to give reproducible results when used, for example, as a detector electrode. In addition, it is also a prerequisite that the contact surfaces within the

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channel are free from contaminants. The electrodes must also permit low internal resistance and potentially high current flow. This applies in particular to what are termed power electrodes by which, depending on the medium used, an electrokinetic flow can be generated within the channels. Finally, the electrodes should be easily connectable.

A material which is frequently used for producing analytical units of this type is silicon dioxide or glass. However, a disadvantage of these materials is that they are not suitable for inexpensive mass production of the analytical systems. Plastic-based materials are considerably more suitable for this purpose. Components such as the substrate and cover which contain the actual microstructures can be produced inexpensively and highly reproducibly by known processes, such as hot embossing, injection moulding or reaction moulding.

In contrast, for sealing the resultant open microstructures with covers, there have hitherto been no methods suitable for mass production for plastic components. This applies in particular to microchannel structures in which, in addition, metal electrodes must be positioned at any points within a closed channel structure and in which all four sides of a channel must consist of the same material.

EP 0 738 306 describes a process for sealing microchannel structures in which a dissolved thermoplastic is spun onto the structured polymer substrate. This dissolved thermoplastic has a lower melting temperature than the parts to be stuck together. The cover and substrate are thermally bonded at 140°C. The surface of the channel (3 side walls) thus consists of the thermoplastic adhesive. If the adhesive is spun onto the cover, at least one side of the channel is wetted by the adhesive.

In US 5,571,410, microfluidic structures are produced in Kapton™ by laser ablation and are welded to a KJ®-coated Kapton™ film. In this case also, at least one side wall of the channel structure consists of a second material.

Becker et al. (H. Becker, W. Dietz, P. Dannberg, "Microfluidic manifolds by polymer hot embossing for µTAS applications," Proceedings Micro Total Analysis Systems 1998, 253-256, Banff, Canada) report on the production of microfluidic channels in hot-embossed PMMA which are sealed by chemically supported bonding to PMMA covers.

WO 97/38300 describes a process in which a cover is wetted by a homogeneous polydimethylsiloxane (PDMS) adhesive layer and is stuck to a polyacrylic-based fluidic structure.

Although all of the abovementioned processes permit microchannel structures to be produced by joining a substrate to a cover, all four walls of the channel do not, however, consist of the same material. In addition, they do not permit the integration of electrodes which have direct contact with the medium in the channels when all four sides of a channel simultaneously consist of the same material.

EP 0 767 256 describes a process for integrating electrodes into microstructures, but this process does not permit liquid-insulated contacting, since for the photochemical deposition of the metal in the channels, these must be rinsed with metal salt solutions.

A method for integrating electrodes at any desired points within a microstructured channel having the possibility for liquid-insulated contacting of the electrodes has been described by Fielden et al. (P.R. Fielden, S.J. Baldock, N.J. Goddard,

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L.W. Pickering, J.E. Prest, R.D. Snook, B.J.T. Brown, D.I. Vaireanu, "A miniaturized planar isotachophoresis separation device for transition metals with integrated conductivity detection", Proceedings Micro Total Analysis Systems '98, 323-326, Banff, Canada). The authors have moulded a microfluidic channel structure in silicone (PDMS) and press this mechanically against a platen provided with electrodes (copper). The channels are thus delimited by two different materials. To keep the resultant channels closed, a constant mechanical pressure must be maintained. The pressure on the silicone cushion readily causes deformations of the channel structures to occur in this system. In this case also, at least one side wall of the channel structure consists of a second material.

It is an object of the present invention, therefore, to provide an improved microfluidic analytical system whose substrate and cover consist of polymeric organic materials and are firmly joined to one another and into which electrodes can be introduced at any desired position with the possibilities for liquid-insulated contacting. If electrodes are to be integrated into the analytical system, an additional object is that the electrodes can be integrated at any desired position in the channel system and are not damaged or detached by the bonding process.

It has been found that the combination of a novel process for producing noble metal coatings which have good adhesion to plastic surfaces using a special bonding technique for joining two plastic components permits production of microfluidic analytical systems, more precisely continuous-flow units for microfluidic analytical systems, having the properties discussed in the prior art and in the objective.

The present invention therefore relates to a process for producing microstructured continuous-flow units for

analytical systems which essentially comprises the following steps:

- 5 a) providing at least one substrate and at least one cover made of plastic, of which at least one component is microstructured;
- b) wetting either substrate or cover with adhesive, with the regions of the channels remaining free from adhesive;
- c) aligning the components;
- 10 d) pressing the components together;
- e) curing the glue.

It is a preferred embodiment of the inventive process to use in step a) at least one component which is provided with electrodes.

It is also a preferred embodiment of the inventive process to perform the adjustment in step c) using sputtered optical alignment markers.

The invention further relates to a microstructured continuous-flow unit for analytical systems which was produced by the inventive process.

25 A preferred embodiment of the inventive continuous-flow unit is a system which has electrodes which are in free contact with the interior of the channel system.

A preferred embodiment of the inventive continuous-flow unit is a system which has electrodes having an adhesive coating of chromium oxide and a coating of noble metal.

Figure 1 shows by way of example a possible structure of a continuous-flow unit having two components.

Figures 2 and 3 show two possibilities for contacting the electrodes.

Figure 4 shows a component having optical alignment markers.

5 Figures 5 to 11 are described in more detail in the examples.

10 Microfluidic or microstructured analytical systems generally consist of a continuous-flow unit which has at least the channel system and, optionally, recesses for integrating peripheral devices, and peripheral devices such as detectors, fluidic connections, reservoirs, reaction chambers, pumps, control devices, etc., which can be integrated into the continuous-flow unit or connected thereto. Continuous-flow units for  
15 microfluidic analytical systems having apparatuses for measuring and controlling electrical conductivity are inventive systems in which, by joining together at least two components, for example substrate and cover, microchannel structures are produced which can be  
20 sealed liquid-tightly and/or gas-tightly. Substrate and cover for this purpose are firmly bonded to one another. In addition, these systems can comprise electrodes at any desired point of the channel system, which electrodes are in free contact with the interior  
25 of the channel, that is penetrate into the channel system. The invention therefore relates to microstructured continuous-flow units for analytical systems, in a broader sense therefore, to microstructured analytical systems.

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The microfluidic analytical systems can be adapted for various applications by varying differing parameters, for example the channel structure, the connection of other systems, such as pumps, feedlines, etc., any  
35 desired arrangement of the electrodes. Particularly preferably, the inventive continuous-flow units for analytical systems are for applications in the field of electrophoretic separation and analysis, for example for capillary electrophoresis or isotachophoresis and

for micropreparative syntheses or derivatizations of substances.

5 The analytes can be detected after exit from the analytical system or directly in the system, that is to say in the continuous-flow unit. Preferably, optical or electrochemical detection methods are integrated into the continuous-flow unit. Electrochemical detection is performed using suitably provided and positioned  
10 electrodes.

15 For the input or output of optical power into or from a channel, predominantly processes are used in which optical fibres are positioned directly in front of a glass capillary (for example "classical CE"). For laser-induced fluorescence measurement (LIF) in microstructured channels in planar two-dimensional systems, processes have been established in which the excitation laser light is focused onto the channel via  
20 free-beam optics and the fluorescence is detected via a free-beam optical system (microscope, possibly confocal, having an optical detector, for example CCD camera).

25 The components of the continuous-flow unit of the analytical systems preferably consist of commercially available thermoplastics such as PMMA (polymethyl methacrylate), PC (polycarbonate) polystyrene or PMP (polymethylpentene), cycloolefinic copolymers or  
30 thermosetting plastics, for example epoxy resins. More preferably, all components, that is to say substrates and covers, of a continuous-flow unit, consist of the same material.

35 The components can be produced by methods known to those skilled in the art. Components which comprise microstructures can be produced, for example, by established processes, such as hot embossing, injection moulding or reaction casting. Particular preference is

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given to the use of components which can be duplicated by known methods for mass production. Microstructured components can have channel structures of cross sectional areas between 10 and 250,000  $\mu\text{m}^2$ .

5

The electrodes which are introduced into the inventive continuous-flow units are typically used for generating a flow of ions or for detection purposes. They must have a sufficient strength of adhesion to the plastic components. This is of importance both for joining the individual components and for the later use of the analytical systems.

The planned use of the analytical system is particularly critical for the choice of the electrode material. Since systems having microchannel structures and integrated electrodes are essentially used in the analytical sector, the electrodes should consist of chemically inert materials, for example noble metals (platinum, gold).

The choice of materials of this type and methods for their application are known to those skilled in the art. Typically, plastic surfaces are metallized by electrochemical deposition of the metals from metal salt solutions. For this purpose it is generally customary, in a multistep process, firstly to pretreat the plastic surface chemically or mechanically, to apply a discontinuous primer and finally to carry out the electrochemical deposition. Descriptions of these metallization methods may be found, for example, in US 4,590,115, EP 0 414 097, EP 0 417 037 and in Wolf and Gieseke (G.D. Wolf, H. Gieseke, "Neues Verfahren zur ganzflächigen und partiellen Metallisierung von Kunststoffen" [Novel process for complete and partial metallization of plastic surfaces] *Galvanotechnik* 84, 2218-2226, 1993). The wet-chemical processes share the fact that relatively complex pretreatment processes are necessary to achieve sufficient adhesion strengths.

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DE 196 02 659 describes the application, with high adhesive strength, of copper to multiphase polymer blends by vaporizing or sputtering. A reason mentioned for the good adhesion is the composition of the polymer blends. According to this the blends must comprise polyarylenesulphides, polyimides or aromatic polyester.

The effect of plasma pretreatments to achieve improved adhesion properties of metals to plastic surfaces is summarized by Friedrich (J. Friedrich, "Plasma-behandlung von Polymeren" [Plasma treatment of polymers], *kleben & dichten* 41, 28-33, 1997) as exemplified by various commercially available thermoplastics. The general purpose of the plasma pretreatment is to generate polar functional groups on the polymer surface, so that an increased adhesion strength of metallic layers results. By way of example, the effect of chromium as an adhesive layer in the metallization of plastics is described. The cause of the good adhesion of chromium, for example, mentioned, is an interaction of polar groups, for example carbonyl groups or ester groups, with chromium 3d orbitals.

Particularly preferably, the electrode structures are generated on the plastic components using a two-layer method. For this purpose, according to the invention firstly an adhesion-promoting layer of chromium oxide is produced. It has been found that chromium oxide, in contrast to noble metals, has excellent adhesion properties on plastic surfaces. In addition, chromium oxide, in contrast to elemental chromium and other transition metals, is considerably more resistant to redox processes. The noble metal, for example platinum or its alloys or gold, is then applied to the chromium oxide adhesion layer.

Chromium oxide and the noble metal layer to be deposited thereon is preferably selectively applied to plastic substrates in the lift-off method or using what

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is termed the shadow-mask method or the structuring of metallic layers initially applied on the whole surface. These processing techniques are standard processes in microstructure engineering. Below, the working steps  
5 required for the two-layer method are described in brief for the said process.

Lift-off process: the plastic component to be selectively metallized is coated with a photoresist.  
10 This photoresist must not etch, or etch only slightly, the plastic part to be metallized. For PMMA, PS and PC, for example, a photoresist from Allresist, Berlin (AR 5300/8) has proved to be suitable. After illumination and development of the structure to be  
15 metallized, the metallic layers are applied in a sputtering unit. The chromium oxide layer is applied during the sputtering process by introducing oxygen into the sputtering system argon plasma typically used. The sputtering target used is a conventional chromium  
20 target. Typical chromium oxide layer thicknesses are 10-50 nm. Alternatively, a chromium oxide target can be used directly. Sputtering platinum or its alloys or gold is carried out directly afterwards under standard conditions, that is to say in the argon plasma. It has  
25 proved advantageous for the adhesion strength of the chromium oxide layer, moreover, before sputtering the chromium oxide, to carry out back-sputtering of the plastic in an oxygen/argon (approximately 5% by volume/95% by volume) plasma. In the actual lift-off  
30 process, the photoresist still present and, together with this, the metal layer situated on the resist is detached from the plastic component in a developer from Allresist (AR 300-26).

35 Shadow-mask method: the plastic part to be selectively metallized is covered with what is called a shadow mask. This has recesses in the areas to be metallized. The metal layers are sputtered through these similarly to the lift-off method. The advantage of this method is

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the markedly simple procedure, since the photoresist processing is omitted. The adhesion strength of the electrodes is comparable with the lift-off technology.

5 Structuring planar metal layers: a metal layer is firstly applied, similarly to the above-described sputtering process, over the whole surface of a plastic part to be metallized selectively. This metal layer is structured in subsequent process steps, either by  
10 selective erosion using, for example, laser ablation (gold and platinum) or, for example, by selective wet-chemical etching. For structuring using wet-chemical etching, a photoresist (Hoechst AG, Germany; AZ 5214) is first applied to the metal layer, illuminated and  
15 developed. Gold is then removed in the illuminated areas in cyanide solution. The non-electrically-conducting chromium oxide layer remains behind. The remaining photoresist is finally removed with a developer (for example AR 300-26, Allresist, Berlin).

20 The adhesion strength of electrodes produced with chromium and also chromium oxide as adhesion layer using the sputtering method has been tested using tear-off tests. The adhesion strength of the chromium oxide  
25 layers is significantly greater. The metal layers that have been produced using chromium oxide as adhesion layer are also markedly more resistant in ultrasonic treatment in alkaline solution compared with metal layers which were produced using chromium as adhesion  
30 layer.

After production and preparation of individual components, these are joined together by the inventive process. Preferably, a component, the substrate, is  
35 microstructured and provided with rear-side bore holes or recesses for filling the channels and/or contacting the electrodes. In addition, the use of a so-called sealing lip, that is to say an elevation on the substrates which completely encloses the channel

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structures with a height between typically 0.5 and 5  $\mu\text{m}$ , has proved to be highly advantageous with respect to the adhesion process. The other component, the cover, serves for covering and, for example, is provided with the electrodes in the case of electrophoretic analytical systems. In this case the cover according to the invention is termed electrode cover. Since the inventive process relates not only to the production of the analytical system measurement and control apparatus, certain applications of the systems can require functionalization of the components which deviates from this preferred arrangement. In this case, for example, more than two components, for example two covers and one substrate etc., can be joined together in order to generate channel structures which lie one above the other, or other functionalities, such as detection systems, reaction chambers etc., can be integrated into the components. According to the invention, all parts of the continuous-flow unit of the analytical system which are joined together using a bonding process are termed components. They can be microstructured, provided with electrodes or have other functionalities. A subdivision of the components into substrates and covers or electrode covers, if the respective component is provided with electrodes, only serves for the more detailed description of the embodiment of the specific components and does not represent any restriction with respect to other properties of the components, such as microstructuring etc., or their combination with one another.

In a preferred embodiment, the analytical system consists of two components. One component, for example the substrate, is microstructured and has the channel system and other recesses for the connection of other functionalities, for example fluidic connections. This component is produced using an injection-moulding process. The bore holes for filling the channels and/or

contacting the electrodes are generated directly in this case by corresponding dents in the mould.

5 The second component, in this case an electrode cover, has no microstructuring at all. Instead, all the electrodes are disposed on this component. This division considerably simplifies the production of the two components. It is not necessary to subject the microstructured component used in the injection-  
10 moulding process to other processing steps. The electrodes are sputtered onto the flat, unstructured component.

15 The components are joined together according to the invention with high precision. It is important for the analytical performance that none of the walls comprises highly reactive plastic, that is to say unpolymerized or molten plastic. This means that the adhesive must not run into the channels and coat their surfaces,  
20 since this can alter the surface properties of the channels. It has been found that this leads, for example, to increased adhesion of analytes, for example proteins, to the canal regions which are wetted with adhesive. This in turn influences the separation  
25 quality of the analytical systems. Similarly, sticking adhesive to the electrodes impairs their functionality.

It is also of great importance that the volume of the channels is not changed, as would occur, for example,  
30 by the uncontrolled ingress of adhesive. According to the invention the channel, to improve the sensitivity of detection, is preferably constricted in the vicinity of the detection electrodes. As a result it is important precisely in these areas that no adhesive  
35 passes into the channel.

To join the components together, according to the invention an adhesive is preferably applied firstly to the microstructured component at the points at which no

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structuring is present. The layer thickness is between 0.5 and 10  $\mu\text{m}$ , preferably between 3 and 8  $\mu\text{m}$ . Typically, it is applied using a flat roller application known from printing technology.

5

10 In a preferred embodiment, a thin adhesive film is applied here via a structured metallic screen roll which takes up a defined volume of adhesive to a second unstructured roll which is coated with a polymer. From this in turn application is performed directly onto the structured substrate in such a manner so as preferably to give an adhesive thickness between 3 and 8  $\mu\text{m}$  on the unstructured surface of the substrate. Depending on the plastic (substrate material) used, the transfer between the plastic roll and the substrate is influenced by any viscosity increase in the adhesive (prepolymerization). An important advantage of this process is that the substrate need not be positioned relative to the roll bearing the adhesive and nevertheless adhesive is only applied to the unstructured regions of the substrate. If too much adhesive is applied, when the cover and substrate are pressed together, adhesive will flow into the channel. If in parts insufficient adhesive has been applied, leaks in the channel structure result. This bonding method requires a flatness of the components of preferably less than approximately 5  $\mu\text{m}/\text{cm}$  of component length.

30 The adhesive used must not etch the surface of the components or etch it only slightly, in order that the electrodes during the adhesion process are not detached or interrupted by the adhesive. Preferably, therefore, the adhesive used is the product NOA 72, thiol acrylate from Norland, New Brunswick NJ, USA. This glue is cured photochemically. However, other types of glues can also be used for the process, for example thermally curing glues which comply with the above conditions.

35

After the adhesive has been applied, the second component having the thin-layer electrodes is positioned appropriately to the substrate for example on an exposure machine and pressed on. For this purpose, preferably the substrate together with the applied adhesive is fixed in the exposure machine in the position otherwise provided for silicon wafers. Preference is given to the use of thick glass plates as pressing surface, since in this manner the positioning and photochemical curing of the glue can be carried out by illumination with an Hg lamp (emission wavelength 366 nm). The electrode cover is fixed in the position provided for the exposure mask by holding it with a vacuum apparatus having a milled glass plate. Since both the electrode cover and the glass plate used for holding the cover are transparent, the cover can be aligned with respect to the substrate through this arrangement. If the cover extends beyond the substrate, this cover can also be held mechanically.

For the adhesion process, typically in addition to optomechanical alignment with the assistance of optical alignment marks, the cover can also be positioned on the substrate passively mechanically using a push-in apparatus, optomechanically without special alignment marks, or electromechanically using electrical marks (contacts).

Figure 4 shows a component having inventively preferred optical alignment marks in the corners for the optomechanical alignment. In addition, electrodes (black) and a channel structure may be seen. It has been found that the metallic alignment marks on the cover can be applied together with the electrodes in the same process step, that is to say preferably can be sputtered, that is to say no additional expenditure is necessary. The corresponding counterstructures on the substrate also require no additional processing, since

these are introduced into the substrate together with the channel structures in a moulding step.

For the optomechanical alignment, at least one component must consist of a transparent plastic. Using the inventively applied alignment marks, the two components are positioned with respect to one another at an accuracy of at least  $\pm 10 \mu\text{m}$ , typically even  $\pm 2 \mu\text{m}$  (for example, theoretical to actual position of the detector electrode) and pressed together. The high position accuracy supports the achievement of reproducible analytical results. The adhesive is then polymerized by a UV lamp. After turning off the vacuum for holding the cover or releasing the mechanical fixing the continuous-flow unit is removed from the exposure machine.

In another preferred embodiment, a component is provided with adhesive by means of a process known in printing (pad printing). The component provided with the electrodes for this purpose is wetted with the glue for this purpose on the regions which, when the two components are combined, must not lie over a channel or be electrically contacted. Microstructured components are wetted such that no adhesive passes into the channel structure or other recesses. Pad printing is a structured glue application. Adhesive is stored in a negative mould of the substrate. Via a typically silicone pad, this adhesive is taken up in a structured manner and applied, for example, onto the cover in such a manner that the regions which later form a wall of a fluidic channel are not wetted with the adhesive. The component having the channel structures is then, as described above, positioned in a suitable manner to its counterpiece and pressed on. Curing is performed as described above.

A structured glue application using spray methods (for example microdrop methods) or using screen-printing

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methods is possible, provided that the lateral resolution of the glue discharge is sufficient.

Pressing on the second component or pressing together  
5 the components, for the purposes of the invention,  
means that the components are brought suitably into  
contact with one another. In order to achieve, after  
curing, a permanent bonding of the components, it is  
generally not necessary to exert a large force, that is  
10 to say to press the components together very firmly.

If the curing process of the glue is carried out  
outside the alignment apparatus used for positioning  
the cover and substrate, the metallized cover and the  
15 substrate, after they have been aligned to one another,  
can be initially attached by laser welding. After this  
the composite is removed from the alignment apparatus  
and the adhesive used is cured in a separate exposure  
apparatus or an oven. This procedure means a process  
20 acceleration and simplification, since the curing need  
no longer be performed in the alignment apparatus.

Since the thermoplastic materials preferably used are  
very largely transparent to laser light in the visible  
25 and near-infrared wavelength region, laser welding in  
this wavelength range requires an absorber layer for  
absorbing the optical power at the interface between  
cover and substrate. This absorber layer is applied  
simultaneously with the application of the power  
30 electrodes or detector electrodes. For example, the  
electrode cover, during sputtering of the electrodes  
with noble metal, can additionally be sputtered at  
further points with a noble metal layer as absorber  
layer.

35 Welding an electrode cover which is provided with  
200 nm thick platinum electrodes and also comprises  
additional platinum surfaces for absorbing the laser  
power to a substrate (base material PMMA) is performed

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using diode laser radiation (mixture of wavelengths of 808, 940 and 980 nm) at a power of 40 watts and a focus diameter of 1.6 mm. The platinum layer is destroyed during welding.

5

Alternatively, it is also possible to use a substrate or cover filled, for example, with carbon black particles, as absorber. This last-mentioned procedure, however, has the disadvantage that then at least one channel wall consists of another material. The possibilities for input into the channel or output from the channel of optical power for optical detection purposes are also restricted thereby.

10

15

The inventive process makes it possible firstly to produce closed microchannel structures whose walls consist of one material and in which electrodes can be positioned at any desired positions within the channels. Structured components (substrates) can be provided liquid-tightly and gas-tightly with, for example, electrode covers. By using chiefly commercially available plastics and simple processing steps, the inventive analytical systems can be produced inexpensively and in large numbers. By means of the inventive process for joining or bonding, the components are wetted with adhesive such that after the joining no adhesive passes into the interior of the channel system, that is to say into the channels, the walls or onto electrodes or other apparatuses penetrating into the channel system. As a result the separation quality and analytical sensitivity of the systems are improved. The inventively produced continuous-flow units for analytical systems having a measuring and control apparatus for electrical conductivity comply with all requirements which must be made of such a system:

20

25

30

35

- They exhibit high dimensional and volumetric stability of the channels.

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- As a result of the strength of the adhesive bonds they are pressure-stable in the interior of the channels.
- There is great variety with respect to the plastics which can be used.
- Chemically inert materials can be used for components and electrodes.
- All four channel walls preferably consist of the same material.
- The electrodes can be positioned at any desired points of the channels with an accuracy of generally  $\pm 10 \mu\text{m}$ , even of  $\pm 2 \mu\text{m}$ .
- The contact surfaces of the electrodes are free from contamination due to adhesive.
- The electrodes can easily be connected.
- The systems exhibit low internal resistance and permit potentially high current densities.

Figure 1 shows by way of example the two functionalized components of a microstructured analytical system. Component 1, the electrode cover, has four electrodes (E) for generating an ion flow and three electrodes (D) for electrical or electrochemical detection. Component 2 is microstructured. On joining together the two components, the ends of the electrodes of the cover enter precisely the channels of the substrate.

Figures 2 and 3 show two possibilities for contacting the electrodes.

In Figure 2, the cover (1) with the electrode (3) projects beyond the microstructured component (2) having the adhesive layer (4). After joining together the two components, the electrode can be contacted via its external region (3b).

In Figure 3, cover (1) and substrate (2) have the same dimensions. After they are joined together, the electrodes cannot be contacted at the side. Instead, in



the substrate there is an additional bore hole (5) via which the electrodes (3) can be contacted, for example, using a sprung pin.

- 5 Even without further explanations, it is assumed that a person skilled in the art can utilize the above description to the broadest extent. The preferred embodiments and examples are therefore to be understood only as descriptive disclosure which is in no way  
10 limiting in any sense.

- Complete disclosure of all applications, patents and publications listed above and below, and of the corresponding application DE 199 27 533, submitted on  
15 16.06.1999, is incorporated by reference into this application.

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## Examples

The following separations were carried out using an analytical system corresponding to Figure 5. Figure 5 shows the channel system having the channel sections K, the reservoirs R, the branch point V, the fluidic connections F, and the leading electrodes L and the detection electrodes D.

### 1. Detection of benzoic acid in tomato ketchup

A two-stage separation of the sample material was carried out. In the first step, isotachophoretic separation was carried out with the TE and LE buffers, and in the second step capillary electrophoresis was carried out using the TE and CE buffers.

#### Separation conditions:

LE (leading electrolyte): 10 mmol/l HCl +  $\beta$ -alanine + 0.2% methyl hydroxyethyl cellulose, pH = 3.9

TE (terminal electrolyte): 10 mmol/l of propionic acid +  $\epsilon$ -aminocaproic acid, pH = 4.7

CE (capillary electrophoresis buffer): 10 mmol/l of propionic acid +  $\epsilon$ -aminocaproic acid + 0.2% methyl hydroxyethyl cellulose, pH = 4.2

20

Current 1: 8  $\mu$ A

Current 2: 7  $\mu$ A

#### Sample:

25 Ketchup Tortex<sup>®</sup> (Poland)

Sample preparation: 1 g of ketchup is added to 100 ml of a 0.1 mmol/l sodium hydroxide solution and treated

for 10 min in an ultrasonic bath. The mixture is then filtered and appropriately diluted.

10  $\mu$ l of sample were applied. The result of the separation is shown in Figures 6 and 7. The time in seconds is given on the x-axis and the resistance R on the y-axis. Figure 6 shows the separation after the first separation step, isotachophoresis. Figure 7 shows the result of separation by capillary electrophoresis after a preceding isotachophoresis. The upper line shows 500-fold diluted ketchup, the lower line shows 500-fold diluted ketchup after an addition of 10  $\mu$ mol/l of benzoic acid. The peaks labelled B show benzoic acid. The area under the peak has markedly increased in comparison with the upper curve.

15 It was thus found that the lower limit of detection for benzoic acid in a difficult matrix is markedly below 10  $\mu$ mol/l.

## 2. Analysis of wine

### Separation conditions:

LE: 10 mmol/l HCl +  $\beta$ -alanine + 0.1% methyl hydroxyethyl cellulose pH = 2.9

TE 1: 5 mmol/l of caproic acid + histidine, pH = 6.0

25 TE 2: 5 mmol/l of glutamic acid + histidine, pH = 5.0

Figures 8 to 10 show the separation of the samples below. The time in seconds is given on the x-axis and the resistance R on the y-axis.

### Fig. 8:

0.2 mmol/l of sulphate, sulphite, phosphate, malonate, tartrate, citrate, malate, lactate, gluconate, aspartate, succinate, acetate, ascorbate, sorbate

Current 1: 10  $\mu$ A

Current 2: 10  $\mu$ A

Fig. 9:

20-fold diluted white wine + 0.25 mmol/l of aspartate

Current 1: 20  $\mu$ A

5 Current 2: 10  $\mu$ A

Fig. 10:

20-fold diluted red wine + 0.25 mmol/l of aspartate

10 Current 1: 20  $\mu$ A

Current 2: 10  $\mu$ A

The numbering of Figures 6 to 8 indicates the following constituents:

15 1 = sulphate

2 = sulphite

3 = phosphate

4 = malonate

5 = tartrate

20 6 = citrate

7 = malate

8 = lactate

9 = gluconate

10 = aspartate as internal standard

25 11 = succinate

12 = ascorbate

13 = acetate

14 = sorbate

i = impurities

30

3. Determination of glutamate in soup preparations

**Separation conditions:**

LE: 10 mmol/l of histidine chloride + histidine + 0.2%

35 methyl hydroxyethyl cellulose, pH = 6.1

TE: 8 mmol/l of morpholinoethanesulphonic acid +  
histidine, pH = 6

Current 1: 10 mA

Current 2: 10 mA

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**Samples:**

- 1 - VITANA<sup>®</sup> broth: 2500-fold dilute
  - 2 - vegetable soup KNORR<sup>®</sup>: 625-fold dilute
  - 3 - French soup MAGGI<sup>®</sup>: 625-fold dilute
  - 5 4 - Beef broth KNORR<sup>®</sup>: 5000-fold dilute
  - 5 - Seasoning mixture KOTÁNYI<sup>®</sup>: 1250-fold dilute
  - 6 - Goulash soup CARPATHIA<sup>®</sup>: 1250-fold dilute
  - 7 - Seasoning mixture KNORR<sup>®</sup>: 2500-fold dilute
- 10 Analysis of the samples is shown in Figure 11. The time in seconds is shown on the x-axis and the resistance R on the y-axis. G means glutamic acid.

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Claims

1. Process for producing continuous-flow units for  
microstructured analytical systems, characterized  
5 in that  
a) at least two plastic components are provided,  
of which at least one component is  
microstructured;  
b) at least one component is wetted with adhesive  
10 such that, after the components are joined  
together, the interior of the channel system is  
not coated with adhesive;  
c) the components are aligned;  
d) the components are pressed together:  
15 e) the glue is cured.
2. Process for producing continuous-flow units for  
microstructured analytical systems according to  
Claim 1, characterized in that at least one  
20 component for step a) is provided in advance with  
electrodes.
3. Process for producing continuous-flow units for  
microstructured analytical systems according to  
25 Claim 1 or 2, characterized in that the alignment  
in step c) is performed using sputtered optical  
registration markers.
4. Continuous-flow unit for a microstructured  
30 analytical system produced by a process  
corresponding to one of Claims 1 to 3.
5. Continuous-flow unit for a microstructured  
analytical system according to Claim 4,  
35 characterized in that the continuous-flow unit has  
electrodes which are in free contact with the  
interior of the channel system.

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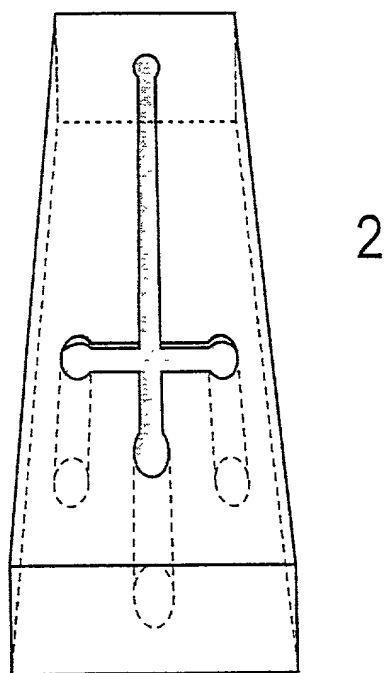
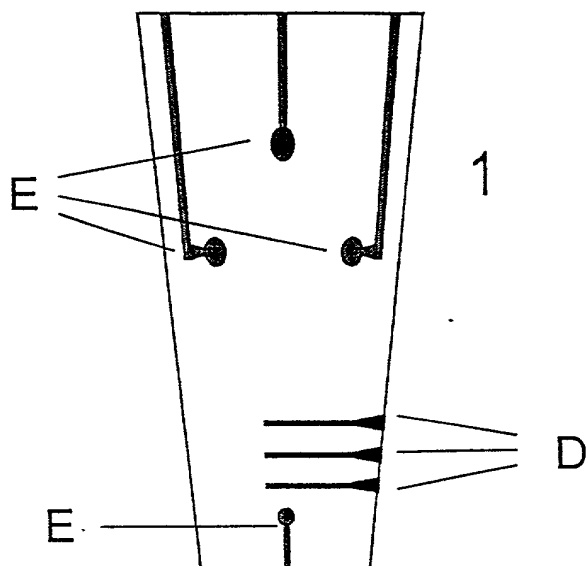
6. Continuous-flow unit for a microstructured analytical system corresponding to one of Claims 4 or 5, characterized in that the electrodes have an adhesive coating of chromium oxide and a coating of noble metal.

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Fig. 1

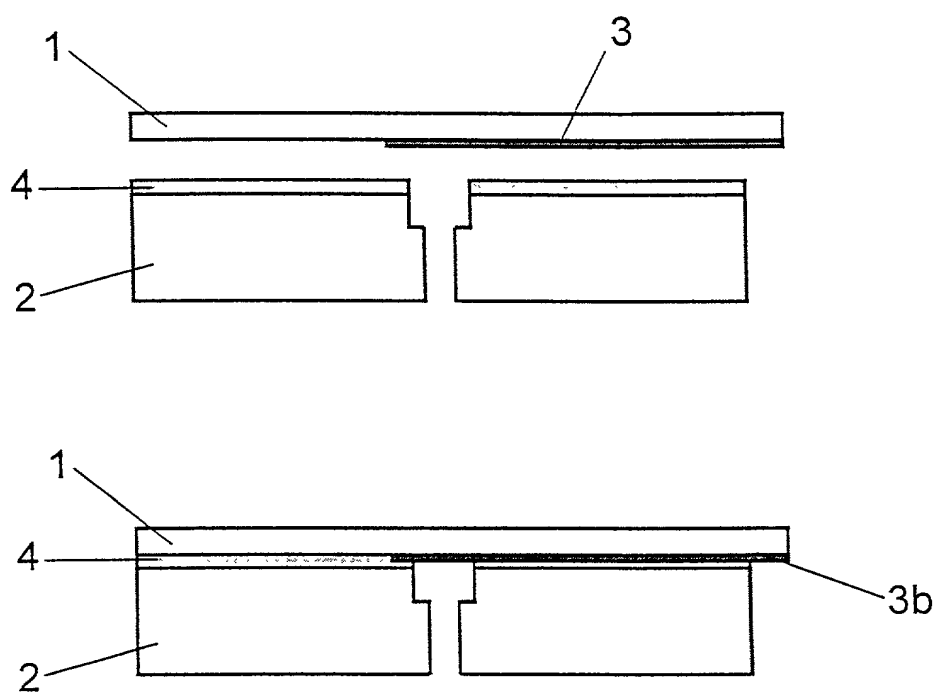


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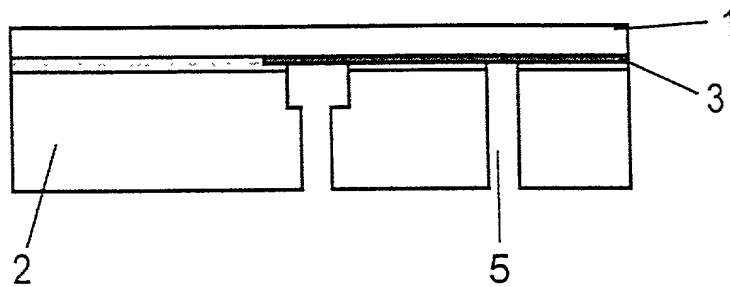
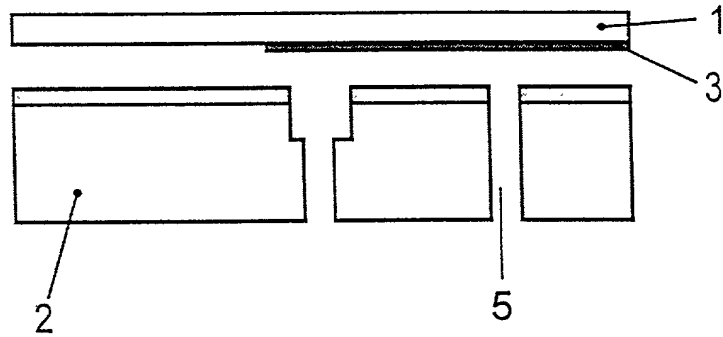
Fig. 2



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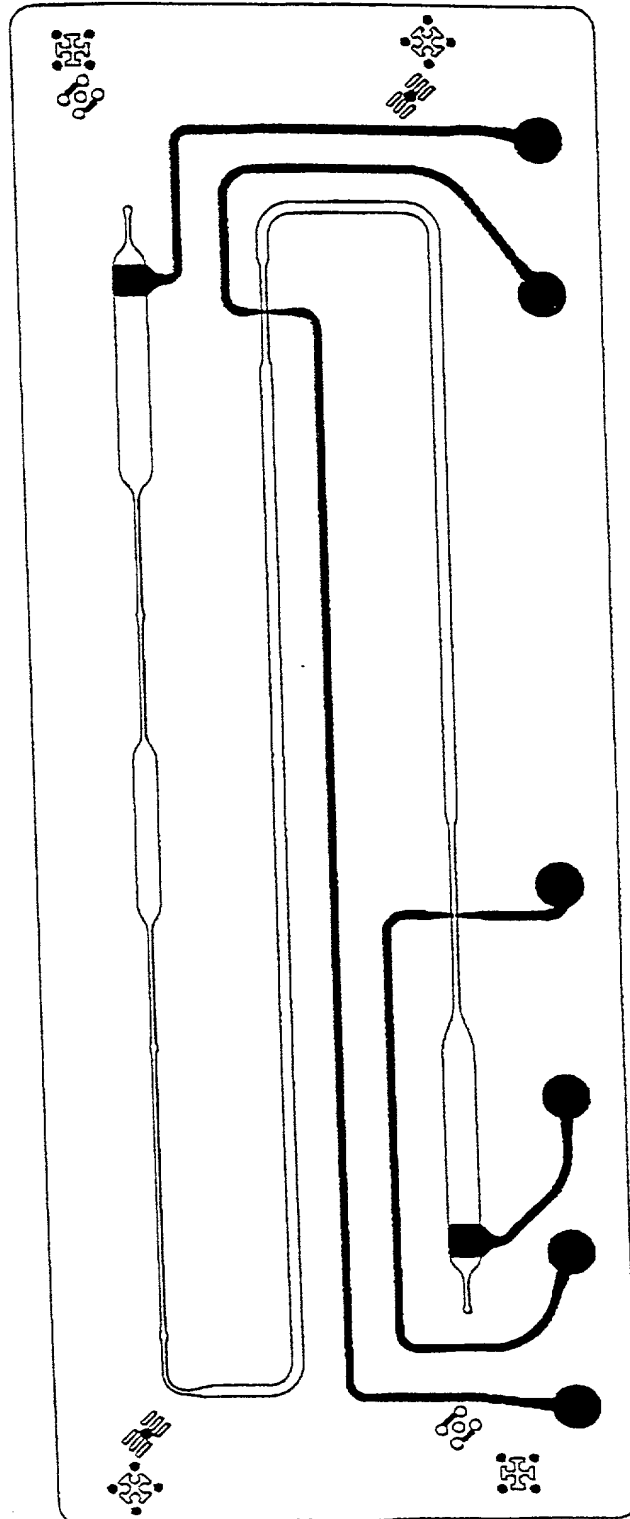
Fig. 3



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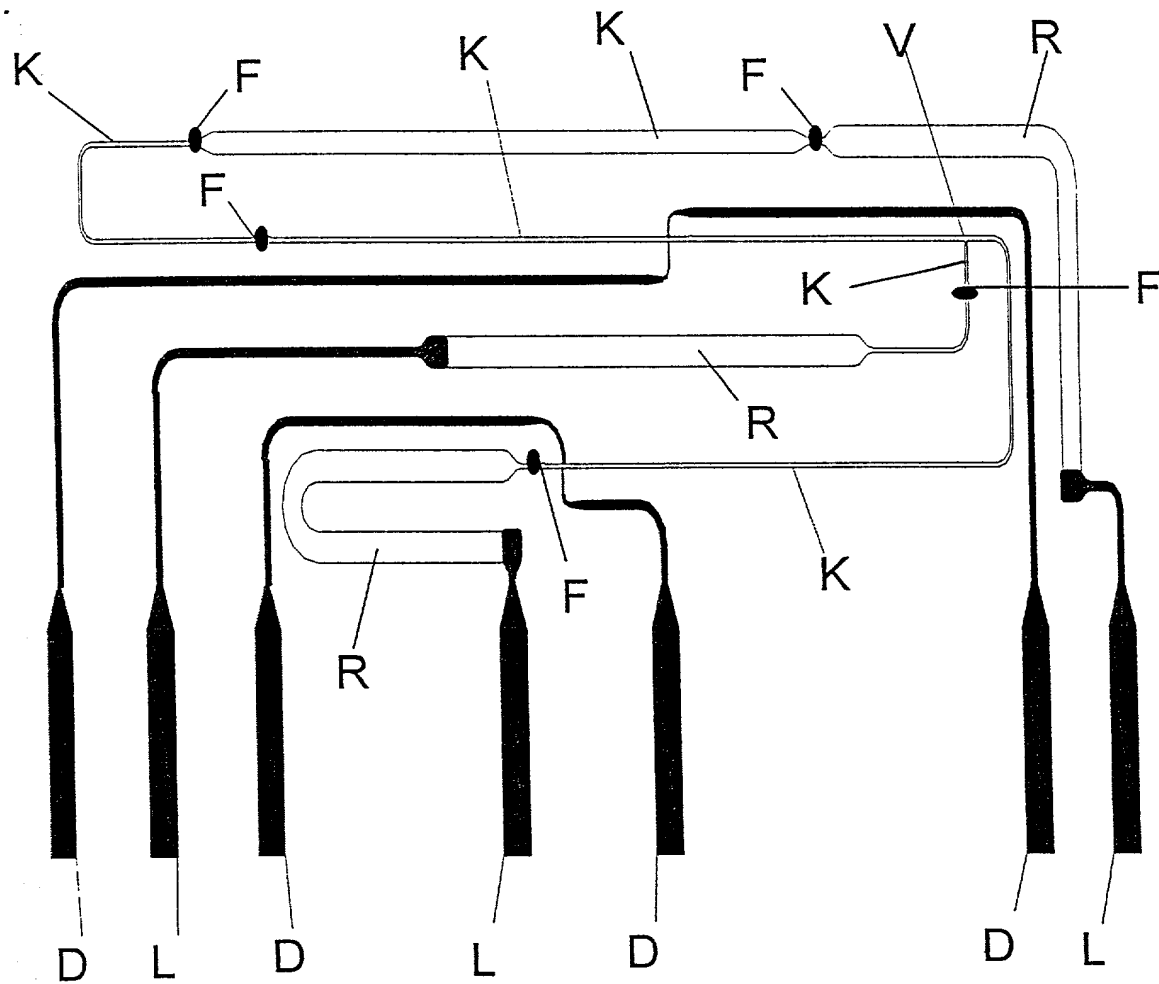
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Fig. 4



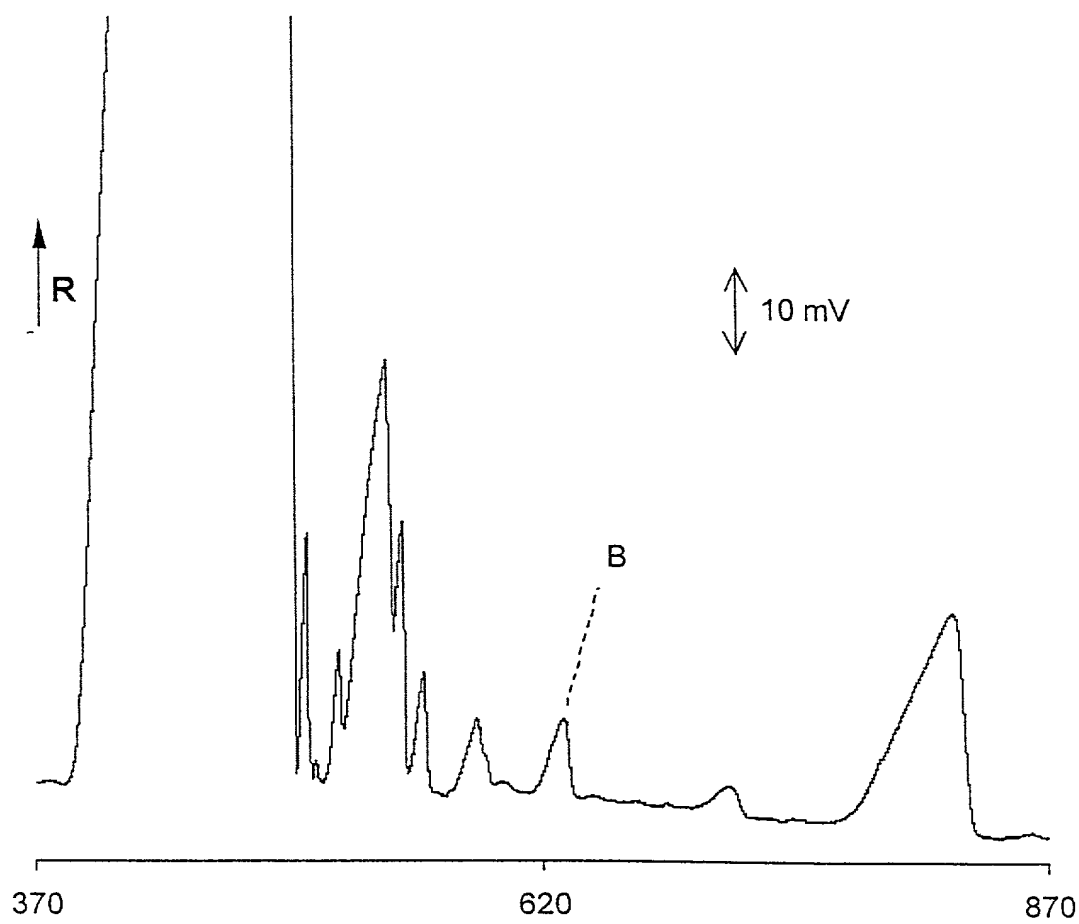
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Fig. 5



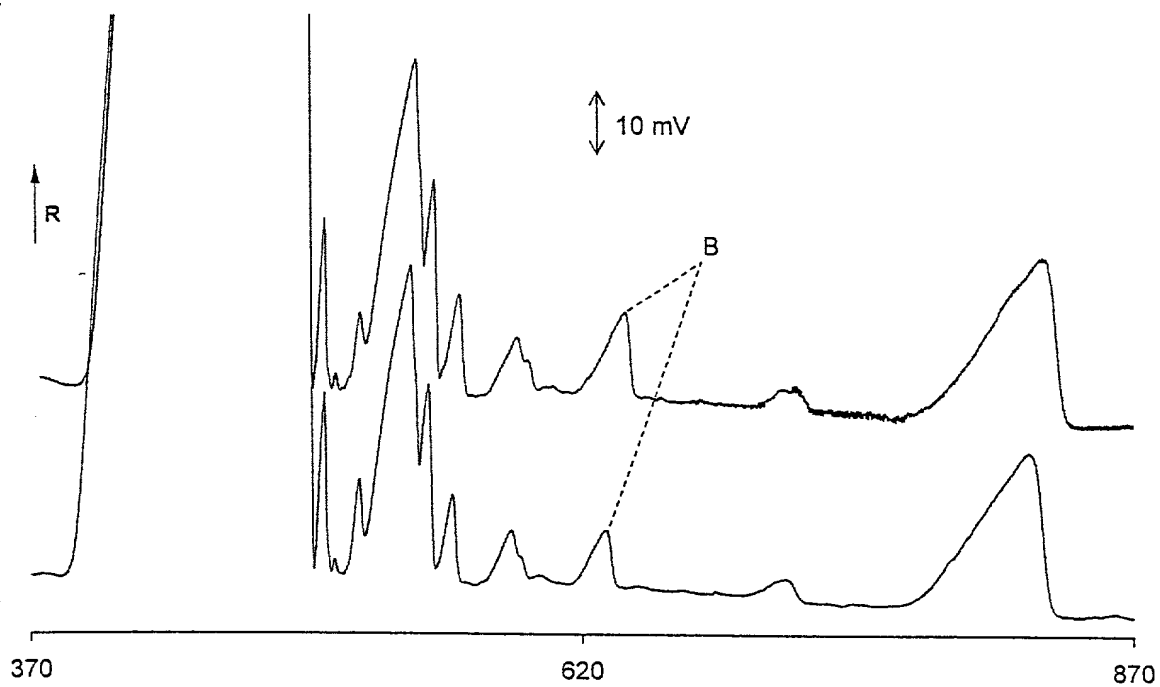
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Fig. 6



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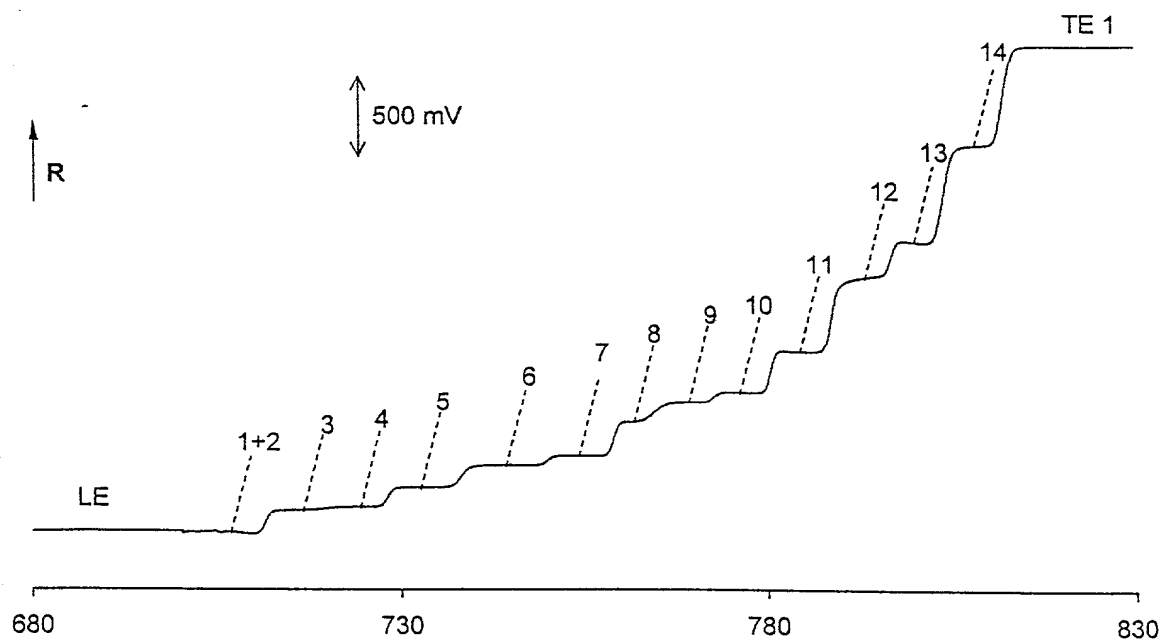
Fig. 7



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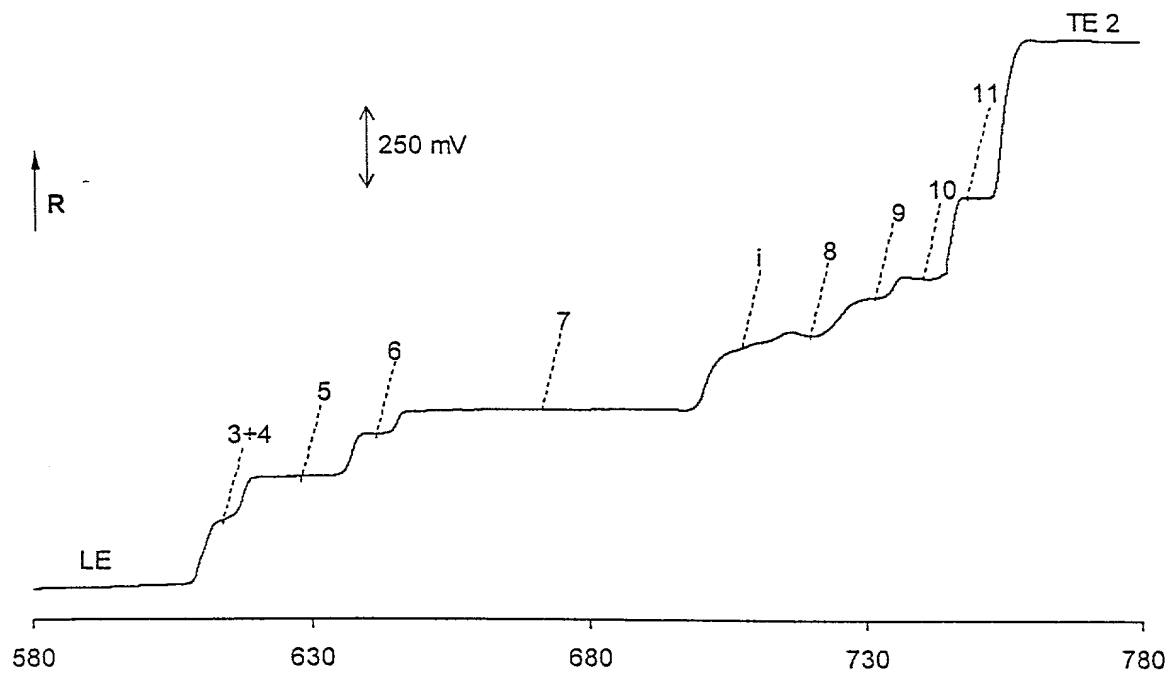
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Fig. 8



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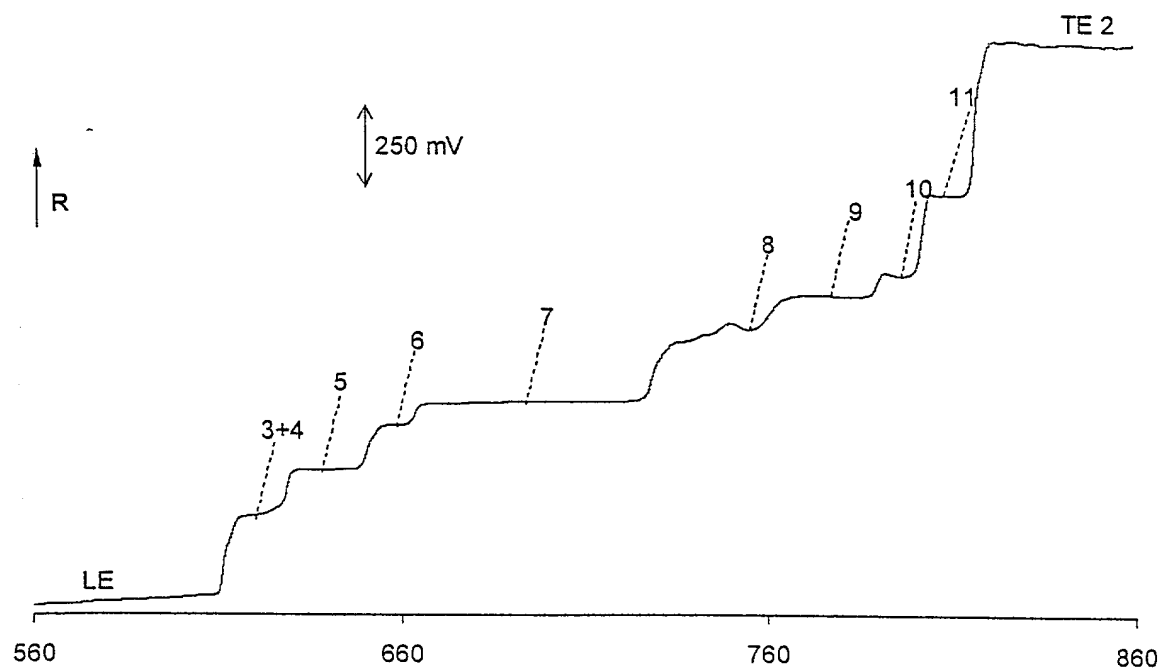
Fig. 9





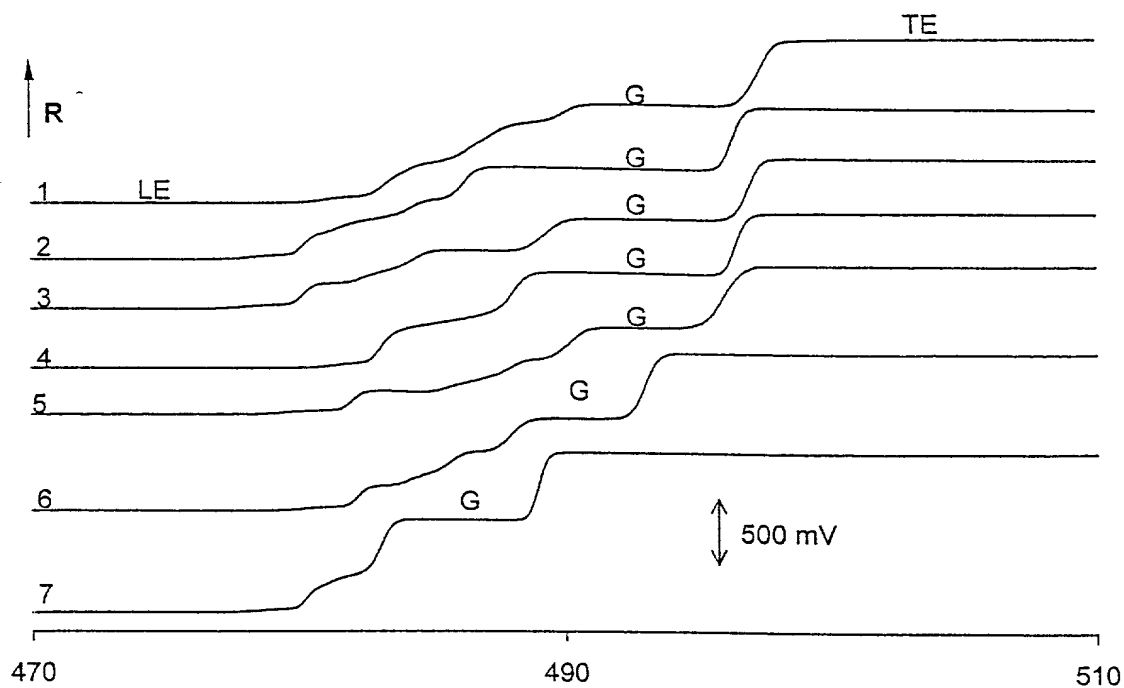
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Fig. 10



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Fig. 11



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**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought of the invention entitled:

Miniaturized Analytical System

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number \_\_\_\_\_ PCT/EP00/05206

on \_\_\_\_\_ 6. June 2000

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §119 of the following United States Provisional Application and of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR U.S. PROVISIONAL AND FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Germany	199 27 533.5	16. June 1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

**Combined Declaration For Patent Application and Power of Attorney (Continued)**  
(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			

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# Combined Declaration for Patent Application and Power of Attorney (Continued)

(Includes Reference to PCT International Applications)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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SIGNATURE OF INVENTOR 203 <i>Matthias Jöhnck</i>	DATE 24.10.2001	SIGNATURE OF INVENTOR 209 <i>Matthias Jöhnck</i>	DATE 24.10.2001
SIGNATURE OF INVENTOR 204 <i>Benedikt Neuer</i>	DATE 24.10.2001	SIGNATURE OF INVENTOR 210 <i>Dirk Siepe</i>	DATE 24.10.2001
SIGNATURE OF INVENTOR 205 <i>Dirk Siepe</i>	DATE 24.10.2001	SIGNATURE OF INVENTOR 211	DATE
SIGNATURE OF INVENTOR 206 <i>Dirk Siepe</i>	DATE 24.10.2001	SIGNATURE OF INVENTOR 212	DATE